

AMENDMENT TRANSMITTAL LETTER (Small Entity)Applicant(s): **SRIKANTH SANKARAN**

MAY 08 2003

Docket No.

PRI-102

Serial No.

09/501,154

Filing Date

February 9, 2000

Examiner

NGUYEN, NGA B.

Group Art Unit

3628

Invention: **METHOD AND SYSTEM FOR INTERACTIVE INITIAL OFFERING OF MULTI-CLASS FINANCIAL INSTRUMENTS**TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	29 -	30 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	7 -	7 =	0 x	\$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of _____
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-1390**
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.


Signature

Dated: May 8, 2003

Lawrence D. Eisen
Registration No. 41,009
SHAW PITTMAN LLP
1650 Tysons Boulevard
McLean, VA 22102

Customer No.: 28970

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

cc:

PRI-102



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

SRIKANTH SANKARAN

Serial No.: 09/501,154

Filed: February 9, 2000

For: METHOD AND SYSTEM FOR
INTERACTIVE INITIAL
OFFERING OF MULTI-CLASS
FINANCIAL INSTRUMENTS

Art Unit: 3628

Examiner: NGUYEN, NGA B.

RECEIVED
MAY 09 2003
GROUP 3600

AMENDMENT

Box: Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22102-4859

Sir:

In response to the Office Action mailed on February 28, 2003, please amend the above-identified application as follows:

No extension of time or other fees are believed to be due, except as detailed in the attached documents. However, any extension of time necessary to prevent abandonment is hereby requested, and any fee necessary for consideration of this response is hereby authorized to be charged to Deposit Account Number 50-1390.

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Amendments to the Specification: None

Amendments to the Claims are reflected in the listing of claims that begins on page 3 of this paper.

Amendments to the Drawings: None

Remarks begin on page 12 of this paper.